

Contract Number \_\_\_\_\_

Contract Bid Amount \_\_\_\_\_

DBE Goal \_\_\_\_\_

## **DBE AFFIRMATIVE ACTION CERTIFICATION**

I do hereby certify that it is the intention of my company to affirmatively seek out and consider certified DBEs to participate in this contract as subcontractors, lessors, or suppliers of materials or services.

I understand and agree that all subcontracting or leasing in connection with this contract, whether undertaken prior to or subsequent to award of contract, will be in accordance with the requirements for the Disadvantaged Business Enterprise (DBE) Program. The applicable requirements are included in the Standard Specifications and the Special Provisions in the Contract Information Book for this contract. I understand and agree that no subcontracting will be approved or commenced until the Department has reviewed and approved the affirmative actions taken by my company or me.

I understand that utilization of certified DBEs is in addition to all other equal employment opportunity requirements of this contract.

I acknowledge that this certification is to be made an integral part of this contract.

I understand and agree that the submission of a blank certification shall cause my bid to be rejected.

I hereby certify that **contact has been made** with the firms listed in this certification, and that **I have confirmed that these firms are certified by the State of Indiana as DBEs** (For listing of DBE certified firms, see [www.in.gov/dot/div/legal/DBE/dbe\\_list.xls](http://www.in.gov/dot/div/legal/DBE/dbe_list.xls).)

If my company becomes the Contractor, the certified DBEs listed below have tentatively agreed to perform the corresponding services as part of this contract's DBE goal ("race/gender conscious" DBE participation). If a portion of a line item is used to satisfy the goal, the full dollar amount of the item will be considered as race conscious.

I have also listed the certified DBEs that have tentatively agreed to perform the corresponding services listed below beyond what is necessary to meet the contract's DBE goal ("race/gender neutral DBE participation). **I understand that neither my company nor I will be penalized for failure to achieve the dollar amounts listed beyond the contract goal.**

After contract award, any change to the firms listed as **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL** or **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL** in this Affirmative Action Certification must have prior approval by INDOT's Economic Opportunity Section, Central Office.

### **DBE SUBCONTRACTORS AND LESSORS TO BE APPLIED TOWARD GOAL**

<b><u>Certified DBE Name &amp; Address</u></b>	<b><u>Pay Item Number and Service Planned</u></b>	<b><u>Actual Dollar Amount to be paid to DBE</u></b>
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### **DBE MATERIAL SUPPLIERS TO BE APPLIED TOWARD GOAL**

<b><u>Certified DBE Supplier Name &amp; Address</u></b>	<b><u>Item(s)</u></b>	<b><u>Multiplier 60% or 100% from 103.01(c)</u></b>	<b><u>DBE Dollar Amount Allowable</u></b>
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Contract Number \_\_\_\_\_

**DBE SUBCONTRACTORS, LESSORS AND MATERIAL SUPPLIERS TO BE USED BEYOND GOAL**

**Certified DBE Name & Address**    **Pay Item Number and Service Planned**    **Actual Dollar Amount to be paid to DBE**

**Total Dollar Amount Credited toward DBE Goal (Race/Gender Conscious):** \_\_\_\_\_

**Total Dollar Amount of Voluntary DBE Work Anticipated over DBE Goal (Race/Gender Neutral):** \_\_\_\_\_

**Name of Company:**

\_\_\_\_\_

**By:** \_\_\_\_\_ **Date:** \_\_\_\_\_

\_\_\_\_\_  
**Individual's Name and Title    (printed or typed)**

(revised 06-08-07)